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ATTORNEY DOCKET NO. Q66555
PATENT APPLICATION



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

I am the application of

Yasukazu NIHEI

Appln. No. 09/987,131

Group Art Unit: 2874

Confirmation No.: 6334

Examiner: NOT YET ASSIGNED

Filed: November 13, 2001

For: POLARIZATION INVERSION METHOD OF FERROELECTRICS AND
FABRICATION METHOD OF OPTICAL WAVELENGTH CONVERSION DEVICE

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached Form PTO-1449 and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date for an application other than a continued prosecution application (CPA) under §1.53(d); (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a

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INFORMATION DISCLOSURE STATEMENT
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request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant submits the following explanations:


The submission of the attached English language abstract along with JPA No. 2-187735 constitutes a concise statement of relevance of the reference. Also, Japanese Unexamined Patent Publication No. 7-72521 corresponds with US Patent No. 5,594,746 submitted herewith.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,

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Date: February 13, 2002


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Registration No. 23,063

Substitute for form 1449 A & B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known

Application Number 09/987,131
 Confirmation Number 6334
 Filing Date November 13, 2001
 First Named Inventor Yasukazu NIHEI
 Art Unit 2874
 Examiner Name NOT YET ASSIGNED
 Attorney Docket Number Q66555

Sheet

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of

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U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code ² (if known)		
		US 5,594,746	B1	1/14/1997	Harada
		US			
		US			
		US			
		US			
		US			
		US			
		US			
		US			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)			
		JP	2-187735		7/23/1990	Sony Corporation	
		JP	7-72521		3/17/1995		

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶
		J.A. Armstrong, et al.; "INTERACTIONS BETWEEN LIGHT WAVES IN A NONLINEAR DIELECTRIC"; Division of Engineering and Applied Physics, Harvard University; vol. 127; No. 6; September 15, 1962	

Examiner Signature

Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.